# Exhibit 1



Corporate Creations Network Inc.

11380 Prosperity Farms Road #221E, Palm Beach Gardens, FL 33410

June 28, 2017

Live Nation Entertainment, Inc.
Richard Patti Senior VP and Associate General Counsel
Live Nation
9348 Civic Center Drive
BEVERLY HILLS CA 90210

# SERVICE OF PROCESS NOTICE

The following is a courtesy summary of the enclosed document(s). ALL information should be verified by you.

Note: Any questions regarding the substance of the matter described below, including the status or to whom or where to respond, should be directed to the person set forth in line 12 below or to the court or government agency where the matter is being heard

1.	Client Entity:	Live Nation Entertainment, Inc.	
2.	Title of Action:	Tiana Adams vs. Live Nation Entertainment, Inc., Et al.	
3.	Document(s) Served:	Summons Class Action Complaint Civil Case Cover Sheet Certificate of Counsel	
4.	Court/Agency:	Riverside County Superior Court	
5.	State Served:	California	
6.	Case Number:	RIC 1707024	
7.	Case Type:	Violations of Consumer Legal Remedies Act	
8.	Method of Service:	Hand Delivered	
9.	Date Received:	Tuesday 6/27/2017	
10.	Date to Client:	Wednesday 6/28/2017	
11. 1	# Days When Answer Due:	30 <u>CAUTION</u> : Client is solely responsible for ve	rifying the accuracy of the estimated Answer Du
	Answer Due Date:		e recommend immediately confirming in writing to in their records matches the Date Received.
12.	SOP Sender: (Name, Address and Phone Number)	Callahan , Thompson, Sherman, & Caudill, LLP Irvine, CA (949) 261-2872	
13.	Shipped to Client By:	Regular Mail and Email with PDF Link	-
14.	Tracking Number:	Not Applicable	
15.	Handled By:	051	
16.	Notes:	<ul> <li>Please note that the print quality of the original document is poor, as a courtesy the original is being sent to your attention by regular mail Also Attached:</li> <li>*Clerk's Certificate of Mailing</li> </ul>	
			ould not be considered a legal opinion. T

To decrease risk for our clients, it is not our role to determine the merits of whether service of process is valid and effective. It is the role of legal counsel to assess whether service of process is invalid or defective. Registered agent services are provided by Corporate Creations Network Inc.

11380 Prosperity Farms Road #221E, Palm Beach Gardens, FL 33410 Tel: Fax: (561) 694-1639

deliver service of process so our clients avoid the risk of a default judgment. As registered agent, our role is to receive and forward service of process.

SUNIV	JNS
(CITACION	JUDICIAL)

NOTICE TO DEFENDANT: LIVE NATION ENTERTAINMENT, INC., a (AVISO AL DEMANDADO): Delaware corporation; VIVID SEATS, LTD., an Illinois corporation; and DOES 1 through 25, inclusive

SUM-100

SUPERIOR COURT OF CALIFORNIA COUNTY OF FIVERSIDE

APR 2 0 2017

M Preciado

RIC1707024

YOU ARE BEING SUED BY PLAINTIFF:

(LO ESTÁ DEMANDANDO EL DEMANDANTE):

TIANA ADAMS, individually and on behalf of all other similarly situated

NOTICEL You have been sued. The court may decide against you without your being heard unless you respond within 30 days. Read the information helow.

the law.

You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file a written response at this court and have a copy served on the plaintiff. A letter or phone call will not protect you. Your written response must be in proper legal form if you want the court to hear your case. There may be a court form that you can use for your response. You can find these court forms and more information at the California Courts Onine Self-Help Center (www.courtinfo.ca.gow/selfhelp), your county law library, or the courthouse nearest you. If you cannot pay the filing fee, ask the court clerk for a fee waiver form. If you do not file your response on time, you may lose the case by default, and your wages, money, and property may be taken without further warning from the court.

may be taken without turner warning norm the court.

There are other legal requirements. You may want to call an attorney right away. If you do not know an attorney, you may want to call an attorney referral service. If you cannot afford an attorney, you may be eligible for free legal services from a nonprofit legal services program. You can locate these nonprofit groups at the California Legal Services Web site (www.lawhelpcalifornia.org), the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), or by contacting your local court or county bar association. NOTE: The court has a statutory lien for waived fees and costs on any settlement or arbitration award of \$10,000 or more in a civil case. The court's lien must be paid before the coun will dismiss the case pAVISOL to han demanded. Si no responde dentro de 30 días, la corte puede decidir en su contra sin escuchar su versión. Lea la información a continuación

Consideration.

Trans 30 DÍAS DE CALENDARIO después de que le entreguen esta citación y papeles legales para presentar una respuesta por escrito en esta corte y hacer que se entregue una copia al demandante. Una carta o una llamada telefónica no lo protegen. Su respuesta por escrito tiene que estar conte y hacer que se entregue una copia ai demandania. Una varia o una liermada telefonida no lo protegen. Su respuesta por escrito tiene que estas en formalo legal correcto si desea que procesen su caso en la corte. Es posible que haya un formulario que usted pueda usar para su respuesta. Puede encontrar ostos formularios de la corte y más información en el Contro de Ayuda de las Cortes de California (www.sucorte.ca.gov), en la biblioleca de leyes de su condado o en la corte que le quede más cerca. Si no puede pegar la cuota de presentación, pida al secretario de la corte que le de un formulario de exención de pago de cuotas. Si no presenta su respuesta a tiempo, puede perder el caso por incumplimiento y la corte le corte cuitar su sueldo, dinero y bienes sin más advadancio.

que la dé un formulario de exención da pago de cuolas. Si no presenta su respuesta a tiempo, puede perder el caso por incumplimiento y la corte le podrá quilar su sueldo, dinero y bianes sin más advertancia.

Hay otros requisitos legales. Es recomendable que llame a un abogado inmediatamente. Si no conoce a un abogado, puede llamar a un servicio de remisión a abogados. Si no puede pagar a un abogado, es posible que cumpla con los requisitos para obtener servicios legales gratuitos de un programa de servicios legales sin fines de lucro. Puede encontrar estos grupos sin fines de lucro en el sitio web de California Legal Services, (www.isuhedicca.gov) o poniéndosa en contacto con le corte o el colegio de abogados locales. AVISO: Por ley, la corte tiene derecho a rectamar las cuolas y los costos exentos por imponer un gravamen sobre cualquier recuperación de \$10,000 ó más de valor recluida mediante un acuerdo o una concosión de arbitraje en un caso de derecho civil. Tiene que acuarmen de la corte antes de que la corte quela desenta el casuarmen de la corte antes de que la corte nuela desenta el casuarmen de la corte antes de que la corte nuela desenta el casuarmen de la corte antes de que la corte nuela desenta el casuarmen de la corte antes de que la corte nuela desenta el casuarmen de la corte antes de que la corte nuela desenta el casuarmen de la corte antes de que la corte nuela desenta el casuarmen de la corte antes de que la corte nuela desenta el casuarmen de la corte antes de que la corte nuela desenta el casuarmen de la corte antes de que la corte nuela desenta el casuarmen de la corte antes de que la corte nuela desenta el casuarmen de la corte antes de que la corte nuela desenta el casuarmen de la corte antes de que la corte nuela desenta el casuarmen de la corte antes de que la corte nuela corte a casuarmen de la corte antes de que la corte antes de qu pagar el gravamen de la corte antes de que la corte pueda desechar el caso.

The name and address of the court is: (El nombre y dirección de la corte es):

SUPERIOR COURT OF CALIFORNIA, COUNTY OF RIVERSIDE

Riverside Historic Courthouse

4050 Main Street Riverside, CA 92501

The name, address, and telephone number of plaintiffs attorney, or plaintiff without an attorney, is:

(El nombre, la dirección y el número de teléfono del abogado del demandante, o del demandante que no tiene abogado, es)

Lee A. Sherman, Esq. (SBN 127198) / Kyle R. DiNicola, Esq. (SBN 297542) CALLAHAN, THOMPSON, SHERMAN & CAUDILL, LLP Tel: 949-261-2872

2601 Main Street, Suite 800

Irvine, CA 92614 DATE:

APR 2 0 2017 (Fecha)

M. PRECIADO Clerk, by (Secretario)

Deputy (Adjunto)

Page 1 of 1

(For proof of service of this summons, use Proof of Service of Summons (form POS-010).)

(Para prueba de entrega de esta citatión use el formulario Proof of Service of Summons, (POS-010)).

NOTICE TO THE PERSON SERVED: You are served

as an individual defendant.

as the person sued under the fictitious name of (specify):

3. (X) on behalf of (specify): Livie Nation Enhertainment, INC., a Delaware CCP 416.60 (minor)

under: CCP 418.10 (corporation) CCP 416.20 (defunct corporation)

CCP 416.70 (conservatee) CCP 416.40 (association or partnership) CCP 416.90 (authorized person) other (specify):

Form Adopted for Mandatory Use Judicial Council of California SU4-100 [Rev. July 1, 2009]

by personal delivery on (date): SUMMONS

LEE A. SHERMAN, ESQ. (SBN 172198) KYLE R. DINICOLA, ESQ. (SBN 297542) 1 CALLAHAN, THOMPSON, SHERMAN & CAUDILL, LLP 2 FILED 2601 Main Street, Suite 800 Irvine, California 92614 3 SUPERIOR COURT OF CALIFORNIA COUNTY OF RIVERSIDE (949) 261-2872 Telephone (949) 261-6060 4 Facsimile: APR 2 0 2017 Email: lsherman@ctsclaw.com 5 kdinicola@ctsclaw.com M. Preciado б Attorneys for Plaintiff, TIANA ADAMS 7 SUPERIOR COURT OF THE STATE OF CALIFORNIA 8 9 **COUNTY OF RIVERSIDE** 1707 024 10 CASE NO.: RIC TIANA ADAMS, individually and on behalf 11 of all others similarly situated, 12 Plaintiff, CLASS ACTION COMPLAINT FOR: 13 VS. 1. Violations of the Consumer Legal Remedies Act; and LIVE NATION ENTERTAINMENT, INC., a Violations of California Business & Delaware corporation; VIVID SEATS, LTD., 15 Professions Code §17200 an Illinois corporation; and DOES 1 through 25, inclusive, 16 Jury Trial Demanded 17 Defendants. 18 19 COMES NOW Plaintiff, Tiana Adams, individually and on behalf of all others similarly 20 situated ("Plaintiff"), for causes of action in this Class Action Complaint against Defendants, Live 21 Nation Entertainment, Inc. ("Live Nation") and Vivid Seats, Ltd. (Vivid) (collectively 22 "Defendants") for violations of the Consumer Legal Remedies Act ("CLRA"), Cal. Civ. Code 23 §1760, et seq. and California Business and Professions Code §17200. 24 INTRODUCTION 25 Plaintiff's Complaint arises out of Defendants' conduct in selling tickets to Janet 1. 26 Jackson's ("Jackson") "Unbreakable World Tour" ("Tour") to thousands of individuals throughout 27 the nation and their subsequent refusal to refund to Plaintiff and those similarly situated individuals, 28 the costs of their tickets, after Jackson announced that the Tour will be postponed until 2017. CLASS ACTION COMPLAINT

#### THE PARTIES

- At all relevant times, Plaintiff was and is a natural person and a resident of the State
  of California, living in Riverside County.
- 3. At all relevant times, Live Nation is a Delaware corporation doing business in the State of California and, specifically, the County of Riverside. Ticket Master is owned and controlled by Live Nation. At all relevant times, Ticket Master was an agent of Live Nation and acted at the direction of Live Nation, to Live Nation's benefit.
- At all relevant times, Vivid Seats is an Illinois corporation doing business in the State
  of California and specifically, the County of Riverside.

#### JURISDICTION

5. The Court has personal jurisdiction over Defendants because Defendants submitted to personal jurisdiction in California by assigning agents for service of process in the state and by purposefully directing their activities to California and availing themselves of the benefits of the laws of California. Defendants' contacts with the State of California are sufficient for the Court to exercise personal jurisdiction over Defendants.

#### VENUE

- 6. The Riverside County Superior Court is the proper venue for Plaintiff's claims because Plaintiff resides in the City of Mira Loma, County of Riverside. When Plaintiff purchased tickets from Defendants' website, the transaction that is the subject matter of this litigation, said transaction was performed in the City of Mira Loma, County of Riverside, State of California.
- 7. The State of California has significant contact and/or a significant aggregation of contacts that create a sufficient state interest in this Class Action such that the application of California substantive law to this case is neither arbitrary nor fundamentally unfair.

#### **GENERAL ALLEGATIONS**

### JACKSON'S "UNBREAKABLE WORLD TOUR"

8. In or around May of 2015, Jackson announced the Tour via her website, <a href="http://www.janetjackson.com">http://www.janetjackson.com</a> ("the Website"). The Website indicated that she would be performing approximately thirty (30) times throughout the United States between September of 2015 and -2."

November of 2015. The Website made tickets available for purchase through a link correlating with 1 2 each event date. These links would redirect consumers to Ticket Master's website. Individuals directed to Ticket Mater's webpage from the Website, then had the option 3 9. to choose a quantity of tickets he or she is purchasing and to then enter in his or her payment 4 5 information and purchase tickets for the Tour, from Ticket Master. 6 10. Ticket Master thus sold tickets to the Tour for Defendants' economic benefit. 7 TICKET MASTER'S SALE OF TICKETS AND REFUND POLICY As of July, 2015 Ticket Master's refund policy for "Cancelled Events" read as 8 11. 9 follows: 10 We'll contact you and issue a refund automatically, including all fees (except UPS and retail pickup fees, and fees on certain Major League 11 Baseball purchases). Please return tickets bought at a retail location to the same location. 12 13 12. As of July, 2015 Ticket Master's refund policy for "Rescheduled Events" read as 14 follows: 15 We'll contact you with the new date and time ASAP. Can't make it? Refunds are up to the artist, team, venue, or promoter - but we'll do 16 everything we can to help. Contact us! 17 VIVID SEATS' INVOLVEMENT 18 13. Vivid Seats is a marketplace for individuals to purchase tickets to sporting events, 19 concerts and theater events. As of July 2015, Vivid Seats' refund policy/ "Buyer Guarantee" read as follows: 20 14. 21 If an event is canceled with no rescheduled date, you are naturally entitled to a full refund of the purchase price, excluding shipping 22 charges. For events that are rescheduled, we will assist you with any ticket reissuing concerns or help you sell your tickets if the new date 23 is no longer desirable to you. 24 15. On or about July 20, 2015, Plaintiff visited Vivid Seats' website and purchased two (2) tickets to Jackson's show scheduled for January 16, 2016 at the Honda Center in Anaheim, 25 26 California ("the Show"). 27 After submitting her payment information, Plaintiff received a confirmation e-mail from Vivid Seats, with two (2) electronic tickets ("Tickets") from Ticket Master. The Tickets were 28 CLASS ACTION COMPLAINT

 generated by "TicketFast" and subject to "the full terms found at www.ticketmaster.com." Nowhere do the Tickets reflect that purchasers of tickets to the Tour are not bound by Ticket Master's terms, if they do not purchase tickets directly from Ticket Master. Thus, Plaintiff and anyone else purchasing tickets to the Tour, through their purchase, assented to the terms set forth by Ticket Master and entered into an agreement with Ticket Master.

- 17. On or about December 28, 2015, Plaintiff was advised by Vivid Seats that the Show has been postponed. On or about January 14, 2016, Vivid Seats informed Plaintiff that the Show was postponed to July 08, 2016.
- 18. On or about April 06, 2016, Jackson announced that the Tour would be postponed until 2017.
- 19. On or about April 11, 2016, Vivid Seats informed Plaintiff that the Show has been postponed from July 08, 2016. However, Vivid Seats did not provide a new date for the Show.
- 20. Plaintiff has since contacted Vivid Seats multiple times, inquiring about a refund, only to be advised that because the Show has not been cancelled, Plaintiff cannot get a refund.
- 21. As of May 23, 2016, the Website is silent on the right of individuals to obtain refunds for the Tour, with the exception of a link to a news article from U.S. Weekly, wherein, U.S. Weekly claims that refunds for tickets to the Tour are available at the point of sale. However, as of May 23, 2016, Ticket Master's website does not reflect that it is offering refunds for tickets to the Tour and reflects that Ticket Master maintains, in the substance, the same refund policy that was in effect in July of 2015, prior to Plaintiff's purchase of the Tickets; specifically, that without a cancelled show, no refunds will be provided.
- 22. Ultimately, Ticketmaster, a company owned and controlled by Live Nation, sold tickets on Jackson's behalf. Despite the fact that Jackson has not rescheduled the previously postponed dates from the Tour, Vivid Seats and Ticket Master are taking the stance that the Tour has merely been "rescheduled" and not "cancelled" and Jackson ratified their conduct through her awareness of Vivid Seats and Ticket Master's policies and by directing individuals to the point of sale, for a refund.

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- 23. Further, Jackson's conduct in postponing the Tour to 2017, without specific dates, reflects that Jackson is presently unaware when, if at all, she can complete the Tour.
  - 24. As a result of Defendants' conduct, Plaintiff has been financially harmed.

#### **CLASS ALLEGATIONS**

25. Plaintiff brings this Class Action Complaint individually and on behalf of all others similarly situated, whom are defined as follows ("The Class"):

All individuals residing within the United States of America who purchased one or more tickets sold or otherwise distributed by Ticket Master, to one or more shows from Janet Jackson's "Unbreakable World Tour" that have been rescheduled.

- 26. Excluded from the Class are: (1) Defendants, Defendants' agents, subsidiaries, parents, successors, predecessors, and any entity in which Defendant or its parents have a controlling interest, and those entities' current and former employees, officers, and directors; (2) the Judge to whom this case is assigned and the Judge's immediate family; (3) any person who executes and files a timely request for exclusion from the Class; (4) any person who has had their claims in this matter finally adjudicated and/or otherwise released; (5) the legal representatives, successors and assigns of any such excluded person; and (6) any individuals who attended all shows on the Unbreakable World Tour for which they purchased tickets.
- 27. The Class consists of thousands of consumers throughout the United States and is so numerous that the joinder of all such members would be impracticable. While Plaintiff is uncertain of the exact number of members in the Class, Plaintiff's estimate is based on the fact that the venue for the show Plaintiff was supposed to attend alone has a capacity of 18,336.00 and Ticketmaster is the official seller and distributor of tickets for the "Unbreakable World Tour." One (1) of the approximate thirty (30) venues where Jackson was supposed to perform provides a potential class consisting of at least 18,000 members.
- 28. Members of the Class can be identified through Defendants' records including but not limited to purchase orders and sales receipts.
- 29. There are multiple questions of law and fact that are common to Plaintiff's claims and the claims of the Class, including:

- 5 -

a.	Whether	Ticket Ma	aster's refi	and policy	y is	unconscional	ble
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- b. Whether Vivid Seats' refund policy is unconscionable;
- c. Whether Jackson ratified Ticket Mater's refund policy; and
- d. Whether Defendants' conduct constitutes unfair business practices pursuant to Business and Professions Code §17200.
- 30. The aforementioned issues of law and fact predominate over any issues posed by the individual claims of members of the Class.
- 31. Plaintiff possesses the same interests as members of the Class and suffered the same injuries as members of the Class. Plaintiff and members of the Class have paid to attend a showing of the Tour on a date that is agreeable to them, respectively, yet Plaintiff and members of the Class have already lost the benefits of the good and services they bargained for because they cannot attend a showing on the date they paid for. Further, Plaintiff and members of the Class share the same interests because none of them are eligible for a refund since the Tour has merely been "rescheduled" and not "cancelled," per Ticket Master.
- 32. Plaintiff will fairly and adequately represent and protect the interests of the Class. Plaintiff does not have any interests antagonistic to members of the Class. Plaintiff has retained competent counsel experienced in the prosecution of this type of litigation. The questions of law and fact common to the proposed Class members predominate over any questions affecting only individual Class members.
- 33. A class action is a superior method for fairly and efficiently adjudication the claims of Plaintiff and members of the Class, as the value of individual claims compared to their expense and burden render individual claims impracticable to pursue.

# FIRST CAUSE OF ACTION-VIOLATIONS OF CONSUMER LEGAL REMEDIES ACT (AGAINST ALL DEFENDANTS)

- 34. Plaintiff reincorporates by reference, all preceding allegations in this Complaint as if fully set forth herein.
- 35. Plaintiff is a "consumer" pursuant to the CLRA because at all relevant times, she purchased goods and services for personal, family and/or household purposes.

- 36. Plaintiff's acts of purchasing the Tickets sold and distributed by Ticket Master, through Vivid Seats, constitutes a "Transaction" pursuant to the CLRA because her purchase of the Tickets formed agreements between Plaintiff and Ticket Master ("Ticket Master Agreement") and Plaintiff and Vivid Seats ("Vivid Seats Agreement")
- 37. The Ticket Master Agreement contains a refund provision under which Ticket Master agrees to issues refunds on tickets for "cancelled" events but not for "rescheduled events," as does the Vivid Seats Agreement. Both Agreements amount to contracts of adhesion, as they are compromised of the terms and conditions set forth on the Tickets and the terms and conditions set forth on Ticket Master and Vivid Seats' websites; all of these terms and conditions are adhesive in nature, as they are set forth on form documents prepared by Ticket Master and Vivid Seats and are not subject to negotiation.
- 38. Ticket Master and Vivid Seats are respectively enforcing these agreements as if Jackson's unspecified postponement of the Tour constitutes a "reschedule[ing]" of the Tour rather than a "cancellation." However, neither Ticket Master nor Vivid Seats' Agreements reflect that an event postponed to an unspecified time will be treated as a "rescheduled" event rather than a "cancelled" event. In essence, they are enforcing a provision that does not exist on the face of the Agreements and, thus, are inserting an unconscionable provision into contracts, in violation of Section 1770(a)(19) of the CLRA.
- 39. Defendants' conduct was a substantial factor in causing Plaintiff and the Class members' financial harm for which Plaintiff seeks actual damages, injunctive and equitable relief and attorney's fees and costs.

# SECOND CAUSE OF ACTION- VIOLATIONS OF BUSINESS AND PROFESSIONS CODE \$17200, ET SEQ.

- 40. Plaintiff reincorporates by reference, all preceding allegations in this Complaint as if fully set forth herein.
- Section 17200 of the California Business and Professions Code prohibits unfair and unlawful business practices.
  - 42. Defendants are "persons" as defined by the Business and Professions Code.

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	1	43. Defendants engaged in business affecting commerce and trade within the State of	
	2	California.	
	3	44. Vivid Seats and Ticket Master engaged in unlawful business practices by attempting	
	4	to insert an unconscionable provision into an agreement, in violation of the Consumer Legal	
	5	Remedies Act, Section 1770(a)(19).	
	6	45. Plaintiff and members of the Class have been financially harmed by Defendants'	
	7	unfair and unlawful business practices. Said practices were a substantial factor in causing Plaintiff	
	8	and the Class members' harm.	
	9	46. This cause of action is brought to enforce important rights affecting the public	
. 19	10	interest.	
	11	PRAYER FOR RELIEF	
12V	12	1. Injunctive relief;	
T \	13	2. Attorney's fees and costs; and	
	14	3. Any other relief the Court deems necessary.	
	15	JURY TRIAL DEMAND	
WHYVIIV.	16	Plaintiff hereby demands a jury trial on behalf of herself and members of the Class.	
	17	DATED: April 18, 2017 CALLAHAN, THOMPSON, SHERMAN &	
	18	CAUDILL, LLP	
	19	By: IX AM woh	
	20	LEE A. SHERMAN KYLE R. DINICOLA	
	21	Attorneys for Plaintiff TIANA ADAMS	
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		- 8 - CLASS ACTION COMPLAINT	
		CHARG ACTION CONGRESSIVI	

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ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State and in	umber, and address):	FOR COURT USE ONLY		
Lee A. Sherman, Esq. (SBN 172198) Kyle R. DiNicola, Esq. (SBN 297542)				
CALLAHAN, THOMPSON, SHERMAN	L& CALIDILL, LLP	]		
2601 Main Street, Suite 800	. w c. (c) hbb, bbi			
Irvine, CA 92614		1		
TELEPHONE NO.: (949) 261-2872	FAX NO.: (949) 261-6060			
ATTORNEY FOR (Name):				
SUPERIOR COURT OF CALIFORNIA, COUNTY OF RIV	ERSIDE			
STREET ADDRESS: 4050 Main Street MAILING ADDRESS: 4050 Main Street			-	
cmy and zip code: Riverside, CA 92501				
BRANCH NAME: Riverside Historic Court	nouse			
CASE NAME: ADAMS V. LIVE NATIO	N ENTERTAINMENT, INC., et al.			
CIVIL CASE COVER SHEET	Complex Case Designation	CASE NUMBER: 1107 024		
X Unlimited Limited (Amount (Amount	Counter Joinder			
demanded demanded is	Filed with first appearance by defender	nt Judge		
exceeds \$25,000) \$25,000 or less)	(Cal. Rules of Court, rule 3.402)	DEPT:		
	ow must be completed (see instructions	on page 2).		
1. Check one box below for the case type that				
Auto Tort	Contract	Provisionally Complex Civil Litigation		
Auto (22)	Breach of contract/warranty (06)	(Cal. Rules of Court, rules 3.400-3.403)		
Uninsured motorist (46)	Rule 3.740 collections (09)	Antitrust/Trade regulation (03)	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
Other PI/PD/WD (Personal Injury/Property Damage/Wrongful Death) Tort	Other collections (09)	Construction defect (10)	•	
	Insurance coverage (18)	Mass tort (40)		
Asbestos (04)	Other contract (37)	Securities litigation (28)	*	
Product liability (24)	Real Property	Environmental/Toxic tort (30)	•	
Medical malpractice (45)	Eminent domain/Inverse	Insurance coverage claims arising from the		
Other Pt/PD/WD (23)	condemnation (14)	above listed provisionally complex case		
Non-PI/PD/WD (Other) Tort	Wrongful eviction (33)	lypes (41)		
Business tort/unfair business practice (07)	Other real property (25)	Enforcement of Judgment		
Civil rights (08)	Unlawful Detainer	Enforcement of judgment (20)		
Defamation (13)	Commercial (31)	Miscellaneous Civil Complaint		
Fraud (16)	Residential (32)	RICO (27)		
Intellectual property (19)	Drugs (38)	X Other complaint (not specified above) (42)		
Professional negligence (25)	Judicial Review	Miscellaneous Civil Petition		
Other non-PI/PD/WD tort (35)	Asset forfeiture (05)			
Employment	Petition re: arbitration award (11)	Partnership and corporate governance (21)		
Wrongful termination (36)	Writ of mandate (02)	Other petition (not specified above) (43)		
Other employment (15)	Other judicial review (39)			
<u> </u>				
2. This case is x is not compl factors requiring exceptional judicial manag	ex under rule 3.400 of the California Rule ement:	s of Court. If the case is complex, mark the		
a. Large number of separately repres		of witnesses		
b. Extensive motion practice raising of		th related actions pending in one or more courts		
issues that will be time-consuming		s, states, or countries, or in a federal court	i	
c. Substantial amount of documentar	v evidence f. Substantial pos	tjudgment judicial supervision		
		alandar and the state of the st		
3. Remedies sought (check all that apply): a monetary b. X nonmonetary; declaratory or injunctive relief c punitive				
4. Number of causes of action (specify): Two (2)				
	s action sult.	•		
6. If there are any known related cases, file an	d serve a notice of related casp. You m	y use form CM-0/5.)		
Date: April 18, 2017	<b>▶</b> √2 × ×	1411 201		
KYLER. DINICOLA		J II VVVV		
(TITE OR PRINT NAME)		(ATURE OF PARTY OR ATTORNEY FOR PARTY)		
- Plaintiff must file this cover sheet with the file	NOTICE			
Plaintiff must file this cover sheet with the fill under the Probate Code, Family Code, or W.	elfare and institutions Code) (Cal Rules	of Court, rule 3.220.) Failure to file may result		
in Sarcions.		or court, rule c.220.) Failure to the may result		
File this cover sheet in addition to any cove.	r sheet required by local court rule,			
<ul> <li>If this case is complex under rule 3,400 et s other parties to the action or proceeding.</li> </ul>	eq. of the California Rules of Court, you	must serve a copy of this cover sheet on all		
<ul> <li>Unless this is a collections case under rule</li> </ul>				
		Name be used for statistical purposes only.		
Form Adopted for Mandalory Use Judicial Council of California	CIVIL CASE COVER SHEET	POR Cal. Rules of Court, rules 2,30, 3,220, 3,400–3,403, 3,740; ICLORS Cal. Standards of Judicial Administration, etd. 3,10		
CM-010 [Rev. July 1, 2007]	Soli	ICONS Gel. Standards of Judicial Administration, etd. 3.10 b Plus		
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SUPERIOR COURT OF CALIFORNIA, COUNTY OF RIVE	RSIDE
HEMET 880 N. State St., Hemet, CA 92543	itz Canyon Way, Palm Springs, CA 92262
ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar Number and Address)  Lee A. Sherman, Esq. (SBN 127198)  Kyle R. DiNicola, Esq. (SBN 297542)  CALLAHAN, THOMPSON, SHERMAN & CAUDILL, LLP  2601 Main Street, Suite 800  Irvine, CA 92614  **ELEPHONE NO: (949) 261-2872 FAX NO. (Optional): (949) 261-6060  E-MAIL ADDRESS (Optional): Kdinicola@ctsclaw.com  ATTORNEY FOR Planne): TIANA ADAMS	FOR COURT USE ONLY  FILED SUPERIOR COURT OF CALIFORNIA COUNTY OF RIVERSIDE  APR 2 0 2017  M. Preciado
PLAINTIFF/PETITIONER: TIANA ADAMS  DEFENDANT/RESPONDENT: LIVE NATION ENTERTAINMENT, INC., et al.	CASE NUMBER: 1.707 024
CERTIFICATE OF COUNSEL	
The undersigned certifies that this matter should be tried or heard in the count specified below:  The action arose in the zip code of:  The action concerns real property located in the zip code of:  The Defendant resides in the zip code of:	
For more information on where actions should be filed in the Riverside Count to Local Rule 1.0015 at www.riverside.courts.ca.gov.	y Superior Courts, please refer
I certify (or declare) under penalty of perjury under the laws of the State of Ca true and correct.	lifornia that the foregoing is
Date <u>April 18, 2017</u>	
KYLE R. DINICOLA (I'VPE OR PRINT NAME OF X ATTORNEY PARTY MAKING DEGLARATION)	Mul (SIGNATURE)
Approved for Mandatory Use Reverside Superior Court  CERTIFICATE OF COUNSEL	Page 1 of 1 Local Rule 1,0015

#### SUPERIOR COURT OF THE STATE OF CALIFORNIA, COUNTY OF RIVERSIDE

APR 28 2017
SUPERIOR COURT OF CALIFORNIA
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Unless and until ordered otherwise, this Case Management Order (CMO) shall govern the management of this case.

#### A. CASE MANAGEMENT

- 1. The Court finds that this is a complex case. (Cal. Rules of Court, rules 3.400(c)(6) and 3.403(b).) The clerk shall impose fees accordingly. The court will entertain objections to this designation at the next Case Management Conference or status conference.
- 2. This case has been assigned to Department 5 for all purposes, including case management, law and motion, and trial.
- 3. The plaintiff shall serve a copy of this CMO on any defendants who have not yet appeared, and shall file proof of service promptly thereafter.
- 4. Any party who has appeared in the action at the time this CMO is entered has 15 days from the service of the CMO in which to object to the CMO. Any party appearing after the entry of the CMO shall have 15 days from that initial appearance in which to object to the CMO. Any such objections shall be in writing and shall be presented to the Court in the form of a noticed motion to amend the CMO. Any party that fails to file such a motion within those 15 days forfeits its objections to the CMO.
- 5. If the Court issues an order to show cause why the Court should not take some specified action:
  - a. The party to whom the OSC is directed shall respond with a written declaration filed five days before the hearing on the OSC. (Cal. Rules of Court, rule 3.110(i); RSC Local Rule 3116.) The Court may deem the failure to file a timely declaration, by itself, to constitute an admission by the responding party that good cause to avoid the threatened sanction or other action does not exist. (RSC Local Rule 3116.)
  - b. If the order to show cause threatens the imposition of monetary or other sanctions for the violation of a court order or rule, then counsel for the party to whom the OSC is directed must not only file a timely declaration, but must also personally appear in court at the date set for the return of the OSC. (Cal. Rules of Court, rule 3.670(e)(2)(A).)

- 6. Not later than five court days in advance of the first Case Management Conference after all parties have appeared, the parties shall file the joint statement required by RSC Local Rule 3160 instead of Judicial Council form CM-110 [case management statement]. In addition to the items listed in that rule, the statement shall advise the Court whether any of the parties or their counsel are aware of any other class action, putative class action, or other type of representative or collective action in this or any other jurisdiction that asserts claims similar to those here on behalf of a class, putative class, or other group of individuals that in any way overlaps with the putative class alleged here.
- 7. Not later than five court days before any subsequent Case Management Conference or status conference, the parties shall file a joint statement that:
  - a. Describes the status of the case, including the parties' discovery plan, the degree to which that plan has been implemented, and mediation efforts; and
  - b. Identifies any issues or concerns that either party wishes to discuss with the Court.
- 8. Because the Court intends to conduct portions of the Case Management Conference and status conferences informally, in chambers, the lead counsel shall personally appear.

  Appearance via Court Call is not permitted.

#### B. SERVICE AND RESPONSIVE PLEADINGS

- 1. All defendants named at the time of the filing of this CMO shall be served, and proofs of service filed, prior to the case management conference.
- 2. Any defendant named after the filing of this CMO shall be served, and proof of service shall be filed, within 60 days of the filing of the pleading, amendment, or amended pleading naming that defendant. (Modifying Cal. Rules of Court, rule 3.110(b).)
- 3. The power of the plaintiff to grant extensions of time in which to file responsive pleadings is subject to the limits in California Rules of Court, rule 3.110(d), except that the maximum extension by counsel is extended to 30 days.
- 4. If a defendant fails to file an answer or any other responsive pleading within 30 days of service, or within any extension granted by the plaintiff or the Court, the plaintiff must request entry of default not later than 30 days after the time for service of the responsive pleading has elapsed. (Modifying Cal. Rules of Court, rule 3.110(g).)
- 5. The plaintiff shall serve a copy of this CMO simultaneously with any summons and complaint served after the date of entry of this order.
- 6. The Court should not be asked to evaluate the sufficiency of a pleading until the pleader has stated his or her case or defense as strongly as possible. Therefore, if a party is considering either an amendment of a pleading or a challenge to an opponent's pleading:
  - a. The parties shall strictly comply with Code of Civil Procedure section 430.41, which requires that parties meet and confer before any demurrer is filed. Similarly, before filing any other challenge to an opponent's pleading, such as a motion to

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- strike or a motion for judgment on the pleadings, the parties shall meet and confer to determine whether the challenge is arguably meritorious and, if so, whether the parties will stipulate to leave to amend being granted to allow the pleading to be amended in an attempt to cure the asserted defect.
- b. Any party who believes that his or her pleading needs to be amended shall meet and confer with the opposing party to discuss whether the amendment is arguably necessary and whether the opposing party will stipulate to the filing of the amended pleading. Consent to such an amendment shall not be unreasonably withheld.
- c. The parties "shall meet and confer in person or by telephone" (§ 430.41, subd. (a)) to discuss any arguable defects in the pleadings, and whether those potential defects can be resolved or diminished by amendment. Merely sending a letter or email to opposing counsel does not constitute a meeting, and thus does not comply with this order. Counsel for the moving or demurring party must follow up on any such written communication with an oral request either by telephone or in person.
- d. Any challenge to a pleading, and any motion for leave to amend a pleading, must be accompanied by a declaration describing those meet-and-confer efforts and establishing that such a stipulation to amend was sought without success.
- e. In the absence of evidence of such an effort to meet and confer, the Court may overrule the demurrer, deny the motion, or continue the hearing until such an effort has been made.

### C. REQUESTS FOR DISMISSAL OF CLASS CLAIMS

If the plaintiff seeks to dismiss the entire action, to dismiss any defendant from the action, or to dismiss or otherwise abandon the class allegations in the action:

- 1. Because any such dismissal requires court approval, the plaintiff shall not use the preprinted Request for Dismissal, Judicial Council form CIV-110. Instead, the request shall be made by the submission to the court of (a) a declaration from plaintiff's counsel, (b) a declaration from each named plaintiff, and (c) a proposed order of dismissal.
- 2. The declarations must comply with California Rules of Court, rule 3.770(a), pertaining to any consideration being paid for the dismissal. Because the purpose of the requirement is to avoid collusion between the parties to the detriment of the potential class members, the showing must be made by declaration rather than by stipulation.
- 3. Because the Court must also decide whether notice should be given to actual or potential class members (Cal. Rules of Court, rule 3.770(c)), the declarations shall also state (a) whether either the plaintiff or plaintiff's counsel has ever informed any of the potential class members whether formally or informally, orally or in writing, individually or as a group of the preparation, filing, or pendency of the action, and (b) if so, the nature and extent of that information, and whether the declarant knows the name and mailing address of the potential class member or members to whom that information was communicated.

- Any request shall explain why the putative class members will not be prejudiced by the requested dismissal.
- 5. If the dismissal is in exchange for any consideration, the application shall explain each of the following:
  - a. What is the form and value of the consideration, and to whom is it to be paid?
  - b. If the consideration is in the form of one or more monetary payments, how were the payments calculated?
  - c. How is the retention of that consideration either by the plaintiff or the plaintiff's attorney consistent with their respective fiduciary duties to the class?
  - d. If the plaintiff is to give a release in addition to a dismissal, what is the scope of that release?

#### D. DISCOVERY

- 1. Counsel are encouraged to engage in informal discovery rather than relying on formal discovery. The Court does not stay or otherwise limit informal discovery.
- 2. All formal discovery concerning solely the merits of the plaintiff's claims (as opposed to whether a class should be certified to prosecute those claims) is stayed until a motion regarding class certification has been filed and decided.
- 3. Unless the parties agree otherwise, all formal discovery concerning class-certification issues is stayed pending further order of the court.
- 4. Requests for leave to propound formal discovery concerning class-certification issues may be made either by submitting a declaration and proposed order or by making an oral request at status conferences or informal conferences with the Court, in accordance with paragraph 5 below. The Court will grant such a request if the applicant demonstrates:
  - a. That the parties have met and conferred to discuss both (i) the scope and sources of the information needed to support or oppose a class-certification motion and (ii) whether the parties would agree to exchange that information informally;
  - b. That the parties were unable to reach an agreement; and
  - c. The discovery is reasonably necessary either (i) to permit a meaningful mediation or (ii) to make or oppose a certification motion.
- 5. No discovery motions may be filed without leave of court. If a discovery dispute arises:
  - a. The parties shall meet and confer either in person or by telephone in a good-faith effort to resolve the dispute. If, despite that effort, the parties are unable to resolve the dispute, then counsel shall contact the clerk of this department to schedule an informal conference at which the court will discuss the dispute with counsel and, if

- not resolved to the parties' satisfaction, will consider any request for leave to file a formal motion.
- b. The conference may be conducted by telephone or in person, as counsel prefer. Prior to the conference, the party seeking relief shall provide the clerk of this department with a brief (two-to-three sentence) description in writing of the reason for the conference. If the conference is to be by telephone, counsel shall also provide the clerk with the call-in telephone number and passcode.
- c. If the opposing side will not agree to participate in the informal conference, then the moving party shall bring an ex parte application for leave to file a discovery motion.

#### E. MEDIATION AND CLASS CERTIFICATION

- 1. The court expects the parties to engage in private mediation at the earliest practicable time, i.e., as soon as all parties have obtained, through informal means, sufficient information from the opposing party(s) to enable them to engage in meaningful mediation.
- 2. No motion for class certification or to deny class certification shall be filed without leave of court. Before leave to file such a motion is requested, the Court expects the parties to have exhausted efforts to mediate a resolution of the case.
- 3. At the time that the Court grants leave of court to file either a motion for class certification or a motion denying class certification, the Court will also establish a briefing schedule and will set a status conference on a date after the reply brief is due. At the status conference, the Court will determine (a) the date on which the motion will be heard and (b) whether the hearing will be continued to allow for further mediation. Therefore, the moving party shall not reserve a hearing date at the time that the motion is filed.

#### F. MOTIONS & APPLICATIONS GENERALLY

- 1. A party making an ex parte application must, inter alia, "[a]ttempt to determine whether the opposing party will appear to oppose the application." (Cal. Rules of Court, rule 3.1204(a)(2).) That attempt shall be made by telephone. Written notice asking the opposing party to inform the moving party of the opposing party's intentions is not sufficient.
- 2. A party desiring an order shortening time for notice of a motion shall not bring an ex parte application for such an order until that party has first (a) reserved the earliest available hearing date for the motion and (b) filed the motion. The Court will not deem the ex parte application as constituting the motion to be heard.
- 3. Any request for relief from a forfeiture of the right to a jury trial must be brought in the form of a noticed motion to be heard not later than the Trial Readiness Conference, or if no TRC is set, then not later than 21 days before the date first set for trial.

- 4. Any party who obtains an order as a result of any motion, application, stipulation or recommendation filed by that party shall promptly (a) serve a copy of that order on all parties and (b) file a proof of that service with the Court.
- 5. Any motion or application for relief shall describe any prior motion or application in this case for the same or similar relief, including the name of the party who brought the prior motion or application, the date of the ruling on that motion or application, and the nature of that ruling.
- 6. Any request to continue a hearing, a case management conference, or a status conference must be (a) labelled as being a request for such relief, (b) supported by a declaration or stipulation establishing the facts that demonstrate good cause for that relief, and (c) accompanied by a proposed order.
- 7. If the court is asked to take judicial notice of some document already filed with the Riverside Superior Court to support or oppose some motion or application, the request shall state (a) the name and case number of the case in which the document is filed, (b) the full name of the document, and (c) the date on which the document was filed. (Cal. Rules of Court, rule 3.1306(c)(1).) A second copy of the document shall not be attached to the request.
- 8. Counsel shall not lodge copies of out-of-state authorities to which they have cited unless that authority is not available on Lexis and Westlaw.

#### G. MOTIONS FOR PRELIMINARY APPROVAL OF SETTLEMENT

If the matter is settled and a motion for preliminary approval of the settlement is filed:

#### In General

- 1. The motion shall be supported by a declaration from the plaintiff's attorney that, inter alia:
  - a. Sets forth the attorney's estimate of the number of individuals in the class.
  - b. Sets forth the attorney's estimate of the total amount of damages, monetary penalties or other relief that the class would be awarded if the action were successful at trial on all of its claims.
  - c. Sets forth the attorney's estimate of the total amount of damages, monetary penalties or other relief that the class could reasonably expect to be awarded at trial, taking into account the likelihood of prevailing and other attendant risks.
  - d. Sets forth the attorney's estimate of the recovery by the average class member if the settlement were approved. If the recovery by different class members will vary, the attorney shall also estimate the range (high and low) of possible recoveries.
  - e. Describes the formal and informal discovery exchanged and other factual investigation conducted to determine the size of the class and the strength of the class claims.

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- f. States (i) whether the attorney is aware of any class, representative or other collective action in any other court in this or any other jurisdiction that asserts claims similar to those asserted in this action on behalf of a class or group of individuals who would also be members of the class defined in this action and, if so, (ii) the name and case number of any such case, the nature of the claims asserted, the definition of the class or other parties on whose behalf the action is brought, and the procedural status of that case. Before making that declaration, the attorney shall make reasonable inquiry of the plaintiff and of other members of the attorney's law firm and any associated law firm to determine whether those individuals are aware of any such similar actions.
- g. States whether there is a fee-splitting agreement between plaintiff's counsel and any other attorney or law firm. If so, the declaration shall identify the other attorney or law firm, shall describe the terms of that agreement, and shall state whether the named plaintiff has approved that agreement in writing.
- 2. The motion shall be supported by a declaration from the defendant's attorney that states (a) whether the attorney is aware of any class, representative or other collective action in any other court in this or any other jurisdiction that asserts claims similar to those asserted in this action on behalf of a class or group of individuals who would also be members of the class defined in this action and, if so, (b) the name and case number of any such case, the nature of the claims asserted, the definition of the class or other parties on whose behalf the action is brought, and the procedural status of that case. Before making that declaration, the attorney shall make reasonable inquiry of the defendant and of other members of the attorney's law firm and any associated law firm to determine whether the defendant or those individuals are aware of any such similar actions.
- 3. If the settlement provides that any unclaimed or otherwise unpaid residue of the settlement proceeds are to be distributed to a proposed cy pres recipient:
  - a. The motion shall explain why a cy pres recipient is reasonably necessary.
  - b. The declarations of the attorneys for the plaintiff and for the defendant shall describe any relationship between the proposed *cy pres* recipient and (i) any class representative or other party, (ii) any officer, director, or manager of any party, or (iii) any attorney or lawfirm for any party.
  - c. The motion shall be supported by a declaration from a knowledgeable person from the proposed cy pres recipient establishing that the recipient is either (i) a nonprofit organization or foundation that supports projects that will benefit the class or similarly situated persons, or that promotes the law consistent with the objectives and purposes of the underlying cause of action, (ii) a child advocacy program, or (iii) a nonprofit organizations providing civil legal services to the indigent. (See Code Civ. Proc., § 384, subd. (b).) In particular, that declaration shall describe the history of the recipient, the types of projects that it has conducted or supported over

- the last five years, and any particular use to which it would intend to devote the unpaid residue if received.
- d. In the Court's view, Code of Civil Procedure section 384, subdivision (b), lists the possible recipients of unpaid residue in a descending order of preference. If the cy pres recipient proposed by the parties is one involved in child advocacy or that provides civil legal services to the indigent, the motion shall include a declaration explaining why the parties did not propose an organization that will either "benefit the class or similarly situated persons, or ... promote the law consistent with the objectives and purposes of the underlying cause of action."
- 4. If notice is not to be given by first class mail, the motion shall discuss the proposed method of giving notice, the alternative methods considered, and the reasons that the proposed method is the one most likely to give actual notice to the greatest number of class members. If the identities of the class members are not known, the parties shall consider publication of notice in print, on the web, and through social media.
- 5. If the settlement requires any of the class members to submit claims:
  - a. The motion shall explain why a claim process is reasonably necessary. If the defendant knows (i) the identity of the class members, (ii) their addresses or former addresses, and (iii) the facts necessary to calculate the recovery of each class member, the Court will require a strong showing of necessity for a claims process.
  - b. The motion shall explain the anticipated claims rate, and the basis for that prediction.
- 6. Any release to be given by the participating class members (other than the class representatives) shall be limited to:
  - a. The defendants named in the complaint, together with their officers, directors, employees and agents. If any other parties are sought to be released, the motion shall both (i) identify those other parties by name and (ii) explain the facts that justify their inclusion.
  - b. The claims stated in the complaint and those based on the facts alleged in the complaint.
- 7. If the settlement contemplates the use of an administrator to implement the terms of the settlement, the motion shall be supported by a declaration describing the administrator's competence, the fee to be charged by the administrator, and whether that fee is (a) fixed, (b) hourly, or (c) hourly with a cap. If the fee is fixed, the declaration shall explain how the price was calculated.
- The settlement agreement shall describe how the value of any uncashed checks will be distributed.
- 9. If the settlement includes compensation for unpaid wages, the settlement agreement shall describe how the employer's share of any applicable payroll taxes will be handled. The

- Court suggests that the employer's share not be paid out of the gross settlement fund. The Court is not likely to include the amount of those payments when calculating the plaintiff's counsel's percentage attorney's fee.
- 10. The settlement agreement shall not include a provision that the class members shall be deemed to have agreed not to sue on any released claims, or any other provision that may expose the class members to potential liability for either breach of contract or misrepresentation.
- 11. The documents that will be read by or used by the class members the proposed notice, objection form, exclusion form, and any claim form shall be drafted in a manner that is likely to be readily understood by the members of the class. To assist the Court in determining whether those documents comply with that directive, the motion shall be supported by a declaration on personal knowledge concerning the likely age, education, and experience of the class members, and of their ability to read and comprehend English.

#### The Order

- 12. The motion shall be accompanied by a separate proposed order which shall include, as attachments to the order, the proposed notice (Cal. Rules of Court, rule 3.769(e)), proposed exclusion form, proposed objection form, and any proposed claim form. The Court is likely to modify those proposed forms. Therefore, the Court will not issue an order that merely incorporates by reference the forms attached to the settlement agreement. The settlement agreement must be filed, but should not also be attached to the proposed order.
- 13. Counsel shall carefully review both the terms and the terminology of the proposed order and accompanying forms (proposed notice, objection form, exclusion form, and any claim form) to confirm that the various documents are consistent with each other and with the settlement agreement.
- 14. The proposed order shall state the name of any settlement administrator, and shall describe the nature of the services that the administrator will be required to perform, either directly or by reference to the settlement agreement.
- 15. The proposed order shall provide that the notice shall be accompanied by an exclusion (or "election not to participate") form that the class members may use. The order shall provide that any exclusion form shall be submitted to the settlement administrator rather than filed with the court. The order shall not require the class member to send copies of the exclusion form to counsel, but may require the settlement administrator to do so. The order shall provide that the settlement administrator shall file a declaration concurrently with the filing of any motion for final approval, authenticating a copy of every such exclusion form received by the administrator.
- 16. The proposed order shall provide that the notice shall be accompanied by an objection form that the class members may use. The order shall provide that any objection shall be submitted to the settlement administrator rather than filed with the court. The order shall not require the class member to send copies of the objection form to counsel, but may require the settlement administrator to do so. The order shall provide that the settlement

administrator shall file a declaration concurrently with the filing of any motion for final approval, authenticating a copy of every such objection.

- 17. The proposed order shall not require an objecting party to do either of the following:
  - a. To appear, either personally or through counsel, at the hearing on the motion for final approval for that party's objection to be considered.
  - b. To file or serve a notice of intention to appear at the hearing on the motion for final approval.
- 18. The order shall require that either counsel or the administrator give notice to any objecting party of any continuance of the hearing of the motion for final approval.
- 19. If the proposed order includes a provision enjoining the class members from filing any actions or administrative claims or proceedings pending the final hearing on the settlement, or for any other period, the motion shall include citations to authority for the issuance of such an injunction without notice to or opportunity to be heard by the individuals to be enjoined.
- 20. If notice is to be given by mail, and if the class members will be required to submit a claim form, the order shall provide:
  - a. That the notice be accompanied by a stamped envelope addressed to the claims administrator; and
  - b. That the claims administrator be required to send a reminder notice to every class member from whom no claim or exclusion request is received within 30 days of mailing the notice.

#### **Notice**

- 21. Unless the notice describes the approximate recovery by the individual class member to whom the notice is sent, the notice shall include an estimate of the likely recovery by the average class member. If the recovery by different members will vary, the notice shall also include an estimate of the range of possible recoveries.
- 22. To avoid discouraging any dissenting class members from objecting to the proposed settlement, the notice shall clearly indicate that the Court has determined only that there is sufficient evidence to suggest that the proposed settlement might be fair, adequate, and reasonable, and that any final determination of those issues will be made at the final hearing.
- 23. The notice shall advise the class members of where they can find the settlement agreement, by describing (a) the full title and filing date either of the settlement agreement or of the declaration or other document to which the agreement was attached when filed with the Court, (b) the address of the courthouse to which the case is assigned, and (c) the address of the court's website at which the case file can be viewed on-line.

#### Claim Form

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24. The information required to be provided by the class member on any claim form shall not exceed the minimum information necessary to process the claim.

#### Objection Form

- 25. The objection form shall (a) instruct the objecting class member that the objection must be mailed to the settlement administrator, (b) state the name and address of the settlement administrator, and (c) state the date by which the objection must be mailed.
- 26. The information required to be provided by an objecting class member on the objection form shall not exceed the minimum information necessary to (a) identify the objector as a person entitled to object to the settlement and (b) to describe the nature of the objection.
- 27. If a claim must be submitted to participate in the settlement, the objection form shall remind the objector that, to participate in the settlement in the event that the objection is overruled, the objector must also submit a claim.

#### **Exclusion Form**

28. The exclusion form shall (a) instruct the class member seeking exclusion that the exclusion form must be mailed to the settlement administrator, (b) state the name and address of the settlement administrator, and (c) state the date by which the exclusion form must be mailed.

#### **PAGA Penalties**

- 29. If the action includes a claim for statutory penalties under the Labor Code Private Attorney General Act of 2004 ("PAGA"), the motion shall explain the terms of any settlement of that claim.
- 30. If the settlement provides for the payment of penalties under PAGA, the motion shall be accompanied by a declaration describing how the penalties were calculated and otherwise establishing facts sufficient to allow the Court to review and approve those penalties as required by Labor Code section 2699, subdivision (I). In particular, the declaration shall explain:
  - a. The nature of the alleged violations.
  - b. The number of alleged individual violations, including both the length of the relevant employment period and the number of employees allegedly employed during that period.
  - c. The total amount of penalties for which the defendant is potentially liable were those allegations to be proven.
- 31. If the agreed-upon amount of PAGA penalties is less than the statutory maximum, the declaration shall explain why greater penalties would be unjust, arbitrary and oppressive, or confiscatory. (Lab. Code, § 2699, subd. (e)(2); Amaral vs. Cintax Corp. No. 2 (2008) 163 Cal.App.4th 1157, 1213-1214.) In particular, the declaration shall explain:

- a. The extent to which the alleged violations would be likely to be found true at trial, considering the weight of the evidence, the clarity of the applicable law, and the strength of any factual or legal defense likely to be asserted by the defendant.
- b. The nature and extent of the discovery or other investigation undertaken by the plaintiff to estimate the likelihood of proving those allegations at trial.
- The likelihood that any violations would be proven to have been knowing and intentional.
- d. The total amount of penalties for which the defendant would be likely to be found liable at trial.
- e. Any facts that tend to suggest that the imposition of the total amount of statutory penalties for which the defendant would be likely to be found liable at trial would be unjust, arbitrary and oppressive, or confiscatory.
- f. How the amount of the agreed-upon penalties was calculated or otherwise arrived at.
- g. Whether the parties utilized the services of any neutral party to mediate this dispute.
- h. Any other factors that are material to a determination that the amount of the agreed-upon penalties is fair.

#### Revised Documents

32. If the Court either denies the motion or continues the hearing on the motion, and if the plaintiff thereafter files any amended stipulation, proposed order, or other document in support of either that motion or a renewed motion, the plaintiff shall submit directly to the clerk of Department 5 a declaration authenticating a "red-lined" version of the amended document, showing how the earlier version was modified.

#### H. MOTIONS FOR FINAL APPROVAL OF A SETTLEMENT

If the matter is settled and a motion for final approval of the settlement is filed:

- 1. The order granting preliminary approval will set the date for the hearing on the plaintiff's motion for final approval. Promptly after the entry of that order, the plaintiff shall reserve a law and motion hearing on the date set in the order.
- 2. Any request for a "service," "enhancement," or "incentive" payment to a named class representative shall be supported by a declaration from the proposed recipient in which the declarant:
  - a. Describes the services performed by the declarant to further the prosecution of the action;
  - b. Estimates the time incurred by the declarant in performing those services;
  - c. Describes any risks assumed by the declarant in prosecuting the action;

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- d. Describes any adverse consequences actually suffered by the declarant as a result of prosecuting the action;
- e. Describes any benefits received by the declarant as a result of prosecuting the action;
- f. Describes the nature and amount of any expenses incurred by the declarant to further the prosecution of this action; and
- g. Identifies any other case, pending or closed, in which the declarant is or was the named class representative.
- 3. Any request for compensation for the services of any settlement administrator shall be supported by a declaration from the administrator describing (a) the services performed, (b) the time incurred to perform those services, and (c) either the hourly rate charged for those services or the agreed-upon flat fee.
- 4. Any request for compensation for attorney's fees in a case that does not result in the creation of a common fund shall be supported by a lodestar analysis. Any request for an attorney-fee award measured as a percentage of a common fund shall be supported by a lodestar analysis as a cross-check for the reasonableness of such a percentage award. Therefore, plaintiff's counsel should maintain contemporaneous time records for this case from this date forward, in time increments of no more than a tenth of an hour. In either case, the lodestar analysis shall be supported by a declaration that:
  - a. Authenticates copies of the time records maintained by the plaintiff's attorneys for the services performed in this case. If no time records were maintained, then the declaration shall state that fact, and shall (i) explain why no such records were kept, (ii) state the date on which legal services were provided, (iii) describe in detail the nature of those services, (iv) estimate the time incurred in performing those services, and (v) describe the basis for that estimate.
  - b. Describes both (i) the hourly rate or rates customarily charged by each attorney for that attorney's time during the period in which those services were performed, and (ii) the attorney's experience and expertise that justify such a rate. The declaration shall state whether the attorney has clients that pay that rate, and if so, the percentage of the attorney's clients that do so. If the attorney works exclusively on a contingency basis, the declaration shall explain the basis for the hourly rate assigned to that attorney's work.
- 5. Any request for compensation for expenses incurred by the plaintiff's attorneys shall be supported by a detailed declaration or other evidence describing the date, nature, and amount of each expense incurred. In particular:
  - a. Any travel expenses shall identify the mode of travel (e.g., by car, taxi, airplane, etc.), the starting point, the destination, and the number of persons making the trip.
  - Any expenses for overnight accommodations shall explain the necessity for staying overnight and the number of persons doing so.

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- 6. The motion shall be accompanied by a declaration from the settlement administrator. The declaration shall describe both (a) the administrator's distribution of the notice, objection form, exclusion form, and any claim form, and (b) the results thereof. In addition, the declaration shall attach and authenticate (a) a copy of the final version of the notice, (b) a copy of every objection form received, and (c) a copy of every exclusion form received. If the reasons stated on any objection form are in a language other than English, the administrator shall include a translation into English.
- 7. If the settlement includes compensation for unpaid wages, and if the employer's share of the payroll taxes is to be paid out of the settlement funds, the motion shall be supported by a declaration estimating the amount of those taxes.
- 8. Because it would potentially expose the class members to a contempt charge, the judgment shall not bar or otherwise enjoin the class members from prosecuting the released claims. Nor shall the judgment include a provision that the class members shall be deemed to have agreed not to sue on any released claims, or any other provision that may expose the class members to potential liability for either breach of contract or misrepresentation.
- 9. Neither the proposed order nor the proposed judgment shall provide for the dismissal of the action. (Cal. Rules of Court, rule 3.769(h).)
- 10. The order granting the motion for final approval shall set a deadline for the filing of a report concerning "the total amount that was actually paid to the class members." (Code Civ. Proc., § 384, subd. (b).)
- 11. Any report pursuant to Code of Civil Procedure section 384, subdivision (b), shall be in the form of a declaration from the administrator or other declarant with personal knowledge of the facts. If there is an unpaid residue, the report shall be accompanied by a proposed amended judgment directing how that residue is to be distributed.
- 12. If the Court either denies the motion for final approval or continues the hearing on the motion, and if the plaintiff thereafter files any amended stipulation, proposed order or judgment, or other document in support of either that motion or a renewed motion, the plaintiff shall submit directly to the clerk of this department a declaration authenticating a "red-lined" version of the amended document, showing how the earlier version was modified.

#### I. FAILURE TO COMPLY

- 1. If it appears that any attorney or party has violated any provision of this order, the Court may issue an order to show cause why monetary sanctions should not be imposed upon that attorney or party in an amount not to exceed \$1,500. (Code Civ. Proc., § 177.5; Cal. Rules of Court, rule 2.30(b).)
- 2. If the plaintiff's counsel fails to comply with the provisions of this order concerning motions for preliminary approval or final approval of a proposed settlement, with the result that final approval of the settlement is unnecessarily delayed, then the Court may reduce

Page 14 of 15

the attorney's-fee award to plaintiff's counsel to compensate the class members for the interest lost during the delay and to deny compensation to the attorney for that deficiency in the attorney's services.

J.	OT	HER

_X	The Case Management Conference shall be conducted in this department on June 30, 2017, at 8:30 A.M.
	The status conference currently set for, 2017, is vacated.
	This CMO #2 entirely supersedes CMO #1, filed .

Craig G.Riemer, Judge of the Superior Court

SUPERIOR COURT OF CALIFORNIA, COUNTY OF RIVERSIDE 4050 Main Street Riverside, CA 92501 www.riverside.courts.ca.gov

CLERK'S CERTIFICATE OF MAILING

TIANA ADAMS

vs.

CASE NO. RIC1707024

LIVE NATION ENTERTAINMENT INC

TO: CALLAHAN, THOMPSON, SHERMAN & CAUDILL 2601 MAIN STREET SUITE 800 IRVINE CA 92614

I certify that I am currently employed by the Superior Court of California, County of Riverside and I am not a party to this action or proceeding. In my capacity, I am familiar with the practices and procedures used in connection with the mailing of correspondence. Such correspondence is deposited in the outgoing mail of the Superior Court. Outgoing mail is delivered to and mailed by the United States Postal Service, postage prepaid, the same day in the ordinary course of business. I certify that I served a copy of the attached Judge Craig G. Riemer on this date, by depositing said copy as stated above.

Court Executive Officer/Clerk

Dated: 04/28/17

SUSAN M SALAZAR, Deputy Clerk

IUN 27 2017

LEE A. SHERMAN, ESQ. (SBN 172198) KYLE R. DINICOLA, ESQ. (SBN 197542) CALLAHAN, THOMPSON, SHERMAN & CAUDILL, LLP 2601 Main Street, Suite 800 3 Irvine, California 92614 Telephone (949) 261-2872 4 Facsimile: (949) 261-6060 Email: <u>lsherman@ctsclaw.com</u> 5 kdinicola@ctsclaw.com 6 Attorneys for Plaintiff, TIANA ADAMS 7 SUPERIOR COURT OF THE STATE OF CALIFORNIA 8 9 THE COUNTY OF RIVERSIDE—CENTRAL DISTRICT 10 11 TIANA ADAMS, individually and on behalf CASE NO.: RIC1707024 of all others similarly situated, [Unlimited Civil Case] 12 Assigned For All Purposes To: Plaintiff. 13 HON. JUDGE CRAIG G. RIEMER DEPARTMENT 5 14 vs. NOTICE OF CONTINUANCE OF CASE 15 LIVE NATION ENTERTAINMENT, INC., VIVID SEATS, LTD., a California MANAGEMENT CONFERENCE corporation and DOES 1-25, Inclusive, 16 DATE: September 8, 2017 17 TIME: 8:30 a.m. Defendants. DEPT .: 5 18 19 COMPLAINT FILED: 04/20/2017 20 TRIAL DATE: NONE 21 22 TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD: 23 NOTICE IS HEREBY GIVEN that the Case Management Conference in the above-entitled 24 matter was held on June 30, 2017, at 8:30 a.m. before the Honorable Craig G. Riemer in Department 25 5 of the above-entitled court. Plaintiff appeared by and through her counsel of record, Kyle R. 26 DiNicola, Esq. of Callahan, Thompson, Sherman & Caudill, LLP. There were no other appearances. 27 28 NOTICE OF CONTINUANCE OF CASE MANAGEMENT CONFERENCE

1	The Court continued the Case Management Conference to September 8, 2017, at 8:30 a.m.,
2	in Department 5 of the above-entitled court, located at 4050 Main Street, Riverside, California
3	92501.
4	
5	DATED: July 17, 2017  CALLAHAN, THOMPSON, SHERMAN & CAUDILL, LLP
6	CAUDILL, LLP
7	By:
8	LEE A. SHERMAN KYLE R. DINICOLA
9	Attorneys for Plaintiff TIANA ADAMS
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	NOTICE OF CONTINUANCE OF CASE MANAGEMENT CONFERENCE

#### PROOF OF SERVICE

STATE OF CALIFORNIA

**COUNTY OF ORANGE** 

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I am employed in the County of Orange, State of California, I am over the age of 18 years and not a party to the within action; my business address is 2601 Main Street, Suite 800, Irvine, California.

On this date, July 18, 2017, I served the foregoing document described as:

#### NOTICE OF CONTINUANCE OF CASE MANAGEMENT CONFERENCE

I enclosed a true copy of said documents in a sealed envelope or package addressed to the persons noted below.

X (By United States Mail) I placed the envelope for collection and mailing, following our firm's ordinary business practices. I am familiar with our firm's practice for collecting and processing correspondence for mailing. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the United States Postal Service, in a sealed envelope with postage fully prepaid.

(By overnight delivery) I enclosed the documents in an envelope or package provided by an overnight delivery carrier and addressed to the persons listed below. I placed the envelope or package for collection and overnight delivery at an office or a regularly utilized drop box of the overnight delivery carrier.

(By electronic service) Based on a court order or an agreement of the parties to accept service by electronic transmission, I caused the documents to be sent to the persons at the electronic notification addresses listed below.

\_\_\_\_ (By personal service) I served the documents by delivering the envelope, by hand, to the persons listed below.

(By [Insert Electronic Service Provider]) I caused the above-entitled documents to be served through [Insert Electronic Service Provider]) addressed to all parties appearing on the [Insert Electronic Service Provider]) electronic service list for the above-entitled case. The file transmission was reported as completed and a copy of the [Insert Name of Electronic Service Filing Receipt]) pages will be maintained with the original documents in our office. Service will be deemed effective as provided for in the Electronic Case Management Order. I have complied with California Rules of Court, Rule 2.257(a) and the original, signed Proof of Service is available for review and copying at the request of the court or any party.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. I further declare that I am employed in the office of a member of the bar of this court at whose direction the service was made.

Executed on July 18, 2017, at Irvine, California.

Julie Leiman

PROOF OF SERVICE

SUPERIOR COURT OF CALIFORNIA, COUNTY OF	RIVERSIDE
	Rd., Ste. 1226, Murrieta, CA 92563 ahquitz Canyon Way, Palm Springs, CA 92262 Riverside, CA 92501 RI-025
ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar Number and Address) Lee A. Sherman, Esq. (SBN 127198) Kyle R. DiNicola, Esq. (SBN 297542) CALLAHAN, THOMPSON, SHERMAN & CAUDILL, LLP 2601 Main Street, Suite 800 Irvine, CA 92614  TELEPHONE No.: (949) 261-2872 FAX NO. (Optional): (949) 261-6060	FOR COURT USE ONLY
E-MAIL ADDRESS (Optional): Isherman@ctsclaw.com  ATTORNEY FOR (Name): TIANA ADAMS	
TITLE OF CASE: ADAMS V. LIVE NATION ENTERTAINMENT, INC.	
·	CASE NUMBER: RIC1707024
AMENDMENT TO X COMPLAINT CR	OSS COMPLAINT
Upon filing the complaint * herein, plaintiff(s)* being ignorant of the true name of said defendant in the complaint by the fictitious name of discovered the true name of the said defendant to be  hereby amends the complaint by inserting such true name in place and stead of appears in said complaint.	and having
(DATE) (TYPE OR PRINT NAME OF ATTORNEY PARTY MAKING DECLARATION)	(SIGNATURE)
INCORRECT NAME (Requires order thereon)	•
Plaintiff(s)* having designated a defendant in the complaint* by the incorrect na	me of <u>VIVID SEATS, LTD.</u>
and having discovered the true name of the said defendant to be VIVID SEAT	TS LLC
hereby amends the complaint by inserting such true name in place and stead of appears in said complaint.  July 19, 2017  (DATE)  (DATE)  (DATE)  (TYPE OR PRINT NAME OF X ATTORNEY PARTY MAKING DECLARATION)	f such incorrect name wherever it
ORDER  Proper cause appearing, plaintiff(s)* allowed to file the above amendment to the	e complaint.*
(DATE)	(JUDGE OF THE SUPERIOR COURT)
*Complaint can also mean a cross-complaint. Plaintiff means a person who files a complaint or c	cross-complaint (C.C.P. 426.10).
Approved for Optional Use Riverside Superior Court RI-025 [Rev. 05/02/11]  AMENDMENT TO COMPLAINT/CROSS COMPLAINT	riverside.courts.ca.gov/localfrms/loclfrms.shtml

Exhibit 1

RI-025

#### PROOF OF SERVICE

STATE OF CALIFORNIA )
COUNTY OF ORANGE )

I am employed in the County of Orange, State of California, I am over the age of 18 years and not a party to the within action; my business address is 2601 Main Street, Suite 800, Irvine, California.

On this date, July 19, 2017, I served the foregoing document described as:

#### AMENDMENT TO COMPLAINT

I enclosed a true copy of said documents in a sealed envelope or package addressed to the persons noted below.

X (By United States Mail) I placed the envelope for collection and mailing, following our firm's ordinary business practices. I am familiar with our firm's practice for collecting and processing correspondence for mailing. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the United States Postal Service, in a sealed envelope with postage fully prepaid.

(By overnight delivery) I enclosed the documents in an envelope or package provided by an overnight delivery carrier and addressed to the persons listed below. I placed the envelope or package for collection and overnight delivery at an office or a regularly utilized drop box of the overnight delivery carrier.

(By electronic service) Based on a court order or an agreement of the parties to accept service by electronic transmission, I caused the documents to be sent to the persons at the electronic notification addresses listed below.

(By personal service) I served the documents by delivering the envelope, by hand, to the persons listed below.

(By [Insert Electronic Service Provider]) I caused the above-entitled documents to be served through [Insert Electronic Service Provider]) addressed to all parties appearing on the [Insert Electronic Service Provider]) electronic service list for the above-entitled case. The file transmission was reported as completed and a copy of the [Insert Name of Electronic Service Filing Receipt]) pages will be maintained with the original documents in our office. Service will be deemed effective as provided for in the Electronic Case Management Order. I have complied with California Rules of Court, Rule 2.257(a) and the original, signed Proof of Service is available for review and copying at the request of the court or any party.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. I further declare that I am employed in the office of a member of the bar of this court at whose direction the service was made.

Executed on July 19, 2017, at Irvine, California.

JULIE LEIMAN

PROOF OF SERVICE

## SERVICE LIST 1 Case Name: Adams v. Vivid Seats 2 RCSC Case No.: RIC1707024 3 Craig I. Varnen, Esq. IRELL & MANELLA LLP Attorney for Defendant LIVE NATION ENTERTAINMENT, INC. 4 1800 Avenue of the Stars, Suite 900 Los Angeles, CA 90067-4276 5 (310) 203-7550 Tel: (310) 203-7199 Fax: 6 Email: <u>cvarnen@irell.com</u> 7 Daniel A. Rozansky, Esq. JENNER & BLOCK Attorney for Defendant VIVID SEATS, LTD. 8 633 West 5<sup>th</sup> St., Suite 3600 Los Angeles, CA 90071-2054 9 Tel: (213) 239-5100 Fax: (213) 239-5199 10 Email: drozansky@jenner.com 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 PROOF OF SERVICE